

#7  
S.I  
2681  
09-30-03

DOCKET: 1217



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

SEP 24 2003

Technology Center 2600

Applicant(s): Jonathan I McCormack  
Venkatachary Srinivasan  
Hari Vasudev  
Raymond Drewry

Title : SYSTEM METHOD AND APPARATUS FOR PORTABLE DIGITAL IDENTITY

Serial no: 09/977085

Filed: 10/12/01

Examiner:

Art Unit: 2681

IMPORTANT: PLEASE NOTE

\*\*\*\*\*

THIS PAPER AUTHORIZES THAT ANY ADDITIONAL FEE DUE MAY BE CHARGED TO THE DEPOSIT ACCOUNT GIVEN BELOW.

*Allen Gueden* Sept 19, 2003  
signature

Commissioner for Patents  
PO Box 1450, Alexandria, VA 22313-1450

Sir:-

Transmitted herewith for filing is an information disclosure statement in the above identified patent application. Enclosed are:

- ☐ Drawings consisting of sheets.
- ☐ Assignment to Liberate Technologies.
- ☐ Declaration and Power of Attorney, 2 pages.
- ☐ Applicants claim small entity status under 37 CFR 1.27
  - ☐ previously submitted or ☐ included herewith.
- ☐ Additional fee calculated below, or ☒ No additional fee is due.
- ☒ Information disclosure statement form PTO/SB/08A

	AFTER AMENDMENT	PREVIOUS PAID FOR	EXTRA CLAIMS
TOTAL CLAIMS:	- 20 X	\$ 18.00	= \$ 0.00
INDEPENDENT CLAIMS:	- 3 X	\$ 84.00	= 0.00

HALF DISCOUNT FOR SMALL ENTITY: NO

EXTENSION OF TIME: NONE


EXTENSION FEE: 0.00

ASSIGNMENT RECORDATION FEE: 0.00

TOTAL DUE \$ 0.00

- ☐ A check in the amount of \$ \_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge the above fee or any other fee due, or credit any overpayment to deposit account 10-0204.
- ☒ Disclosure statement and PTO/SB/08B (substitute PTO-1449) enclosed.

Respectfully submitted,  
BY



DATED:

Sept 19, 2003

ALLAN J. JACOBSON  
Attorney for Applicant  
Registration No. 29,079

ATTORNEY AT LAW  
13310 Summit Square Road  
Route 413 & Doublewoods Road  
Langhorne, Pennsylvania 19047

telephone: (215) 579-1426

## CERTIFICATE OF MAILING

Date of Deposit:

Sept 19, 2003

I hereby certify that the above identified paper or fee is being  
deposited in the United States Post Office on the date indicated above  
to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 by

☒ (37 CFR 1.8) first class mail, or

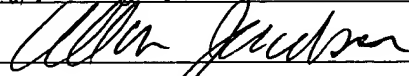
☐ (37 CFR 1.10) Express Mail Post Office to Addressee,  
Express Mail Deposit Label NO: \_\_\_\_\_

and addressed to the Commissioner for Patents

Name of person mailing:

Allan Jacobson

Signature of person mailing:



Date of signature:

Sept 19, 2003

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that each of the within identified papers  
is being facsimile transmitted to the Patent and Trademark Office  
on the date shown below.

Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date of Signature: \_\_\_\_\_ PTO-RESPONSE-COVER-L



DOCKET: 1217

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jonathan I McCormack  
Venkatachary Srinivasan  
Hari Vasudev  
Raymond Drewry

Title :SYSTEM METHOD AND APPARATUS FOR PORTABLE DIGITAL IDENTITY

Serial no:09/977085  
Filed: 10/12/01  
Examiner:  
Art Unit: 2681

INFORMATION DISCLOSURE STATEMENT  
37 CFR 1.56  
37 CFR 1.97

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:-


Please consider the enclosed issued patent and/or publications in examining the above identified pending patent application. Form PTO/SB/08A (substitute PTO-1449) is enclosed.

The citation of the enclosed references does not constitute an admission that any reference is relevant to the claims; it is cited only as constituting the closest art of which applicant(s) are aware.

CERTIFICATION UNDER 37 CFR 1.97

The undersigned hereby certifies that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of this Information Disclosure Statement,

Respectfully submitted,  
BY

  
ALLAN J. JACOBSON  
Attorney for Applicant(s)  
Registration No. 29,079

DATED: Sept 19, 2003

Telephone: 215 579-1426

Please type a plus sign (+) inside this box → +

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

## Complete if Known

Application Number 09/977085

Filing Date 10/12/01

First Named Inventor McCormack

Group Art Unit 2681

Examiner Name

Attorney Docket Number 1217

Sheet 1 of 2



C. DOCUMENTS CONSIDERED TO BE RELEVANT			
Examiner Initials*	Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X		WO 99 27681 A (MOTOROLA INC) 3 June 1999 (1999-06-03) page 7, line 3-20; figure 1 page 9, line 14-23 page 11, line 10-28 page 12, line 3-24 page 48, line 28 -page 49, line 15 page 50, line 4-9 page 51, line 19 -page 52, line 15 page 59, line 1 -page 61, line 25 page 106, line 18-28	1-13
X		EP 1 035 741 A (SIEMENS INF & COMM NETWORKS) 13 September 2000 (2000-09-13) column 1, line 26 -column 2, line 32 column 3, line 34 -column 4, line 7 column 4, line 40 -column 5, line 10 column 5, line 34 -column 7, line 6	1,7-13
A			2-6
Y		WO 01 44911 A (KONINKL PHILIPS ELECTRONICS NV) 21 June 2001 (2001-06-21) abstract page 2, line 9-23 page 7, line 12-17 page 8, line 27-31	1-13
Y		EP 0 957 617 A (SUN MICROSYSTEMS INC) 17 November 1999 (1999-11-17) column 3, line 11-20 column 11, line 37-54 column 12, line 37 -column 14, line 32 column 20, line 50 -column 21, line 7	1-13

RECEIVED

SEP 24 2003

Technology Center 2600

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/08A (10-96)  
Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

## Complete if Known

Application Number 09/977085  
Filing Date 10/12/01  
First Named Inventor McCormack  
Group Art Unit 2681  
Examiner Name  
Attorney Docket Number 1217

## U.S. PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>2</sup> (if known)				
P, X		EP 1 185 116 A (AT & T CORP) 6 March 2002 (2002-03-06) abstract column 1, line 55 -column 2, line 41 column 3, line 5-16 column 3, line 32 -column 4, line 15 column 8, line 13-50 column 12, line 7-31 column 13, line 9-25 column 14, line 57 -column 15, line 17				<p>112 <b>RECEIVED</b> SEP 24 2003 Technology Center 2600</p>	
		Patent document cited in search report		Publication date	Patent family member(s)	Publication date	
		WO 9927681	A	03-06-1999	AU 1702199 A EP 0962074 A1 WO 9927681 A2	15-06-1999 08-12-1999 03-06-1999	
		EP 1035741	A	13-09-2000	EP 1035741 A2 JP 2000312386 A	13-09-2000 07-11-2000	
		WO 0144911	A	21-06-2001	CN 1372746 T WO 0144911 A2 EP 1190551 A2	02-10-2002 21-06-2001 27-03-2002	
		EP 0957617	A	17-11-1999	US 6052720 A US 6161125 A EP 0957617 A2 JP 2000122984 A	18-04-2000 12-12-2000 17-11-1999 28-04-2000	
		EP 1185116	A	06-03-2002	AU 5588601 A BR 0103578 A CA 2352713 A1 EP 1185116 A2 JP 2002149530 A	07-03-2002 07-05-2002 28-02-2002 06-03-2002 24-05-2002	

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.